

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - Karur Vysya Bank Limited
2. Quarter ending - 31-Mar-2020

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	P R SESHADRI	07820690		ED	CEO-MD	04-Sep-2017	04-Sep-2017	31-Mar-2020		28-Jun-1963	NA		1	0	1	0	SC,RMC	
Mr.	N S SRINATH	01493217		ID,C & NED		23-Jul-2014	27-May-2019		69	10-May-1952	NA		1	1	2	1	AC,SC, NRC	
Mr.	V G MOHAN PRASAD	00002802		ID		15-Jun-2014	23-Jul-2017		70	27-Sep-1958	NA		1	1	2	0	AC,SC,RMC, NRC	
Mr.	M K VENKATESAN	00032235		NED		09-Dec-2014	09-Aug-2018			01-Jan-1957	NA		1	0	0	0	NRC	
Mr.	A K PRABURAJ	07004825		NED		09-Dec-2014	18-Jul-2019			31-Dec-1970	NA		1	0	0	0	RMC	
Mrs.	K L VIJAYALAKSHMI	07116809		ID		22-Mar-2015	21-Jul-2019		47	05-Mar-1965	NA		1	1	2	1	AC,SC	
Mr.	M V SRINIVASAMOORTHY	00694618		NED		27-Aug-2015	09-Aug-2018			24-Jun-1963	NA		1	0	1	0	AC,NRC	
Mr.	K S RAVICHANDRAN	00002713		ID		26-May-2016	21-Jul-2019		47	09-Apr-1962	NA		1	1	1	0	AC,RMC, NRC	
Mr.	R RAMKUMAR	00275622		NED		25-Jun-2018	09-Aug-2018			14-Oct-1982	NA		1	0	2	0	AC,SC,RMC	
Mr.	K G MOHAN	08367265		ID		01-Feb-2020	01-Feb-2020		2	12-Oct-1952	NA		1	1	0	0	NA	

Company Remarks	In line with provisions of Companies Act, 2013 and SEBI LODR - CA K L Vijayalakshmi was initially appointed on 22.03.2015 and was further re-classified as ID from 26th May 2016. N S Srinath was initially appointed on 29.06.2012 and elected as ID in the AGM held on 23.07.2014. RBI vide letter DBR.Appt.No.9955/08.41.001/2018-19 dated 27.05.2019 accorded their approval for appointment of N S Srinath as Part Time Non-Executive Chairman w.e.f the date of their approval for 3 years. Shri KG Mohan was co-opted as Additional Director in the Board Meeting held on 01st February 2020 and will seek appointment as Independent Director for a period of three years in the ensuing AGM.
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No



ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	K L VIJAYALAKSHMI	ID	Chairperson	27-Mar-2019	
2	N S SRINATH	ID,C & NED	Member	28-Aug-2018	
3	V G MOHAN PRASAD	ID	Member	22-Nov-2019	
4	M V SRINIVASAMOORTHY	NED	Member	28-Aug-2018	
5	K S RAVICHANDRAN	ID	Member	27-Oct-2018	
6	R RAMKUMAR	NED	Member	27-Oct-2018	

Company Remarks:	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	N S SRINATH	ID,C & NED	Chairperson	27-Mar-2019	
2	P R SESHADRI	ED	Member	04-Sep-2017	31-Mar-2020
3	V G MOHAN PRASAD	ID	Member	25-Jul-2019	
4	K L VIJAYALAKSHMI	ID	Member	27-Mar-2019	
5	R RAMKUMAR	NED	Member	25-Jul-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes



c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	P R SESHADRI	ED	Chairperson	04-Sep-2017	31-Mar-2020
2	V G MOHAN PRASAD	ID	Member	27-Mar-2019	
3	A K PRABURAJ	NED	Member	25-Jul-2019	
4	K S RAVICHANDRAN	ID	Member	27-Mar-2019	
5	R RAMKUMAR	NED	Member	28-Aug-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	K S RAVICHANDRAN	ID	Chairperson	27-Mar-2019	
2	N S SRINATH	ID,C & NED	Member	29-Jan-2015	
3	V G MOHAN PRASAD	ID	Member	27-Mar-2019	
4	M K VENKATESAN	NED	Member	27-Oct-2018	
5	M V SRINIVASAMOORTHY	NED	Member	25-Jul-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes



iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
11-Oct-2019	04-Jan-2020	Yes	9	4
01-Nov-2019	01-Feb-2020	Yes	9	4
21-Nov-2019	19-Feb-2020	Yes	9	4
10-Dec-2019	20-Mar-2020	Yes	10	5
11-Dec-2019		Yes	9	4

Company Remarks	Being a mandatory field we have mentioned the attendance details of 11.12.2019 in the field Number of Directors & Number of Independent Directors.
Maximum gap between any two consecutive (in number of days)	29

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	10-Oct-2019	21-Jan-2020	Yes	5	3
Audit Committee	01-Nov-2019	01-Feb-2020	Yes	6	4
Audit Committee	19-Dec-2019	04-Mar-2020	Yes	6	4
Risk Management Committee	21-Dec-2019	17-Mar-2020	Yes	4	1
Nomination & Remuneration Committee	10-Oct-2019	01-Feb-2020	Yes	5	3
Nomination & Remuneration Committee		18-Feb-2020	Yes	4	2
Nomination & Remuneration Committee		31-Mar-2020	Yes	5	3
Stakeholders Relationship Committee		19-Feb-2020	Yes	4	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	32



v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	Not Applicable
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 500 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

CG Report as on 31.12.2019 is placed in the Board Meeting held on 01.02.2020 and taken on record. Composition of Committees are as per RBI/SEBI guidelines and provisions of Companies Act, 2013. Maximum tenure of Non-Executive director is 8 years from the date of co-optation as per RBI guidelines.

Name : SRINIVASARAO M
 Designation : Company Secretary
 Date : 22-Apr-2020
 Place : Karur



Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Item	Compliance (Yes/No/NA)	status	Company Remark	Website
As per regulation 46(2) of the LODR:				
Details of business	Yes			www.kvb.co.in
Terms and conditions of appointment of independent directors	Yes			www.kvb.co.in
Composition of various committees of board of directors	Yes			www.kvb.co.in
Code of conduct of board of directors and senior management personnel	Yes			www.kvb.co.in
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes			www.kvb.co.in
Criteria of making payments to non-executive directors	Yes			www.kvb.co.in
Policy on dealing with related party transactions	Yes			www.kvb.co.in
Policy for determining 'material' subsidiaries	Not Applicable			
Details of familiarization programs imparted to independent directors	Yes			www.kvb.co.in
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor grievances	Yes			www.kvb.co.in
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances other relevant details	Yes			www.kvb.co.in
Financial results	Yes			www.kvb.co.in
Shareholding pattern	Yes			www.kvb.co.in
Details of agreements entered into with the media companies and/or their associates	Not Applicable			
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes			www.kvb.co.in
New name and the old name of the listed entity	Not Applicable			
Advertisements as per regulation 47 (1)	Yes			www.kvb.co.in



Item	Compliance status (Yes/No/NA)	Company Remark	Website
Credit rating or revision in credit rating obtained by the entity for all its outstanding instruments obtained	Yes		www.kvb.co.in
Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Not Applicable		
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.kvb.co.in
Materiality Policy as per Regulation 30	Yes		www.kvb.co.in
Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.kvb.co.in
It is certified that these contents on the website of the listed entity are correct.	Yes		www.kvb.co.in

II Annual Affirmations

Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
Board composition	17(1), 17(1A) & 17(1B)	Yes	
Meeting of Board of directors	17(2)	Yes	
Quorum of Board meeting	17(2A)	Yes	
Review of Compliance Reports	17(3)	Yes	
Plans for orderly succession for appointments	17(4)	Yes	
Code of Conduct	17(5)	Yes	
Fees/compensation	17(6)	Yes	
Minimum Information	17(7)	Yes	
Compliance Certificate	17(8)	Yes	



Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(7) & (8)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Not Applicable	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Formation of independent directors</i>	25(7)	Yes	



Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
Declaration from Independent Director	25(8) & (9)	Yes	
D & O Insurance for Independent Directors	25(10)	Yes	
Memberships in Committees	26(1)	Yes	
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
Disclosure of Shareholding by Non- Executive Directors	26(4)	Yes	
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	

Other Information	Criteria of making payments to non-executive directors have been included in the Compensation policy
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : SRINIVASARAO M
 Designation : Company Secretary
 Date : 22-Apr-2020
 Place : Karur


