



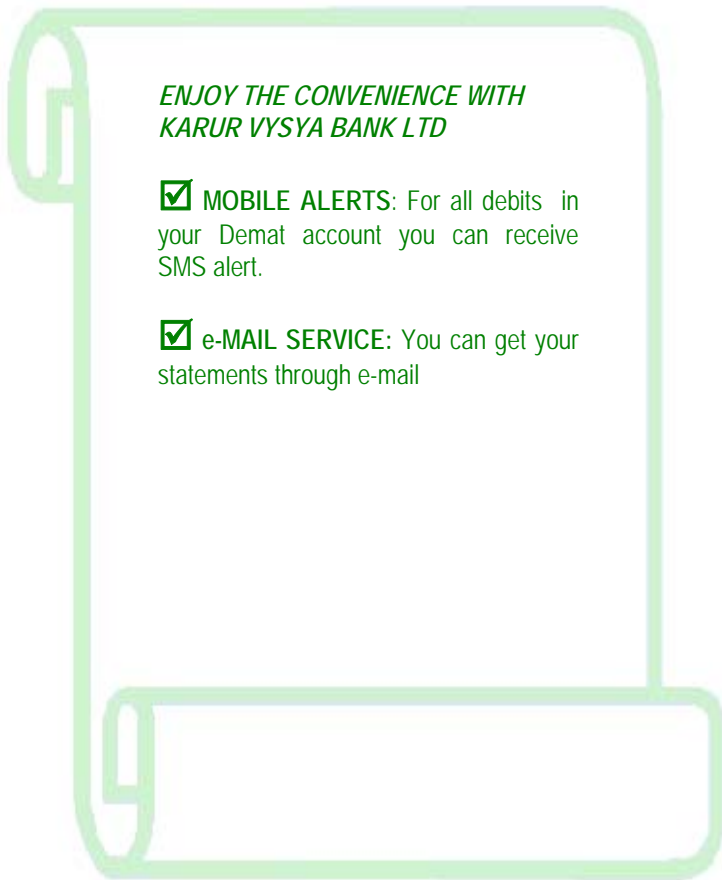
Karur Vysya Bank

Smart way to bank

THE KARUR VYSYA BANK LTD, DEMAT CELL, 37 WHITES ROAD, CHENNAI-600 014

Phone :28518265 /69 email kvbdp@kvbmail.com

Demat Account Opening Form (For Resident Non Corporate)



*ENJOY THE CONVENIENCE WITH
KARUR VYSYA BANK LTD*

MOBILE ALERTS: For all debits in your Demat account you can receive SMS alert.

e-MAIL SERVICE: You can get your statements through e-mail

DP ID	I	N	3	0	3	3	8	2	
-------	---	---	---	---	---	---	---	---	--

FOR OFFICE USE:
CLIENT ID

--	--	--	--	--	--	--	--	--	--

DATE

--	--	--	--	--	--	--	--	--	--

TYPE OF ACCOUNT

- Resident
- HUF
- NRI-Repatriable
- NRI-Non-Repatriable

Statement by

- Email
- Post

Signature of First Holder

RECEIVED BY	ENTERED BY	VERIFIED BY

TECHNOLOGICAL SERVICES AT AFFORDABLE COST



Karur Vysya Bank
Smart way to bank

THE KARUR VYSYA BANK LTD
DEMAT CELL
37 WHITES ROAD
CHENNAI 600 014
PH 044 28518265

PLEASE TICK THE RELEVANT BOX

CHECK LIST

SIGNATURE(C-Client, N-Nominee, W-Witness, G-Guarantor)	C/ N/ W/ G	PAGE NO	CHECK O BE MADE	CHEC K BOX
Direct Debit Mandate (For KVB Account Holder only)	C1	4	Signature of KVB A/C Holder	<input type="checkbox"/>
Client's signature across the photograph	C2	5	Signature of all holders	<input type="checkbox"/>
Declaration	C3	5	Signature of all holders	<input type="checkbox"/>
Client's signature (in case of nomination only)	C4	6	Signature of all holders	<input type="checkbox"/>
Agreement between KVB and applicant(s)	C5	7	Signature of all holders	<input type="checkbox"/>
Letter of confirmation	C6	8	Signature of all holders	<input type="checkbox"/>
Payment instruction	C7	9	Signature of all holders and Signature of Demat officer	<input type="checkbox"/>
Schedule of Charges	C8	10	Signature of all holders	<input type="checkbox"/>
Nominee's signature across the photograph and at the specified place (in case of nomination only)	N1& N2	6	Signature of Nominee	<input type="checkbox"/>
Guardian's signature across the photograph and at the specified place (in case of nominee is a minor)	G1 & G2	6	Signature of Guardian	<input type="checkbox"/>
Witness for Nominee's signature at the specified place (in case of nomination only)	W1	6	Signature of Witness	<input type="checkbox"/>
Witness on agreement between KVB and the applicants	W2	7	Signature of two witnesses, one for KVB and other for the client	<input type="checkbox"/>
ENCLOSURES				
Duly verified copies of Proof of Identity of all the demat account holders*		5	As per option listed inside	<input type="checkbox"/>
Duly verified copies of Proof of Address (Permanent correspondence address) of all the demand account holders*		5	As per option listed inside. Address on the proof must be the same as specified in Page3	<input type="checkbox"/>
Duly verified copies of MAPIN ID, if the client has specified the MAPIN details*		3		<input type="checkbox"/>
Duly verified copy of PAN Card of all the demand account holders*		4		<input type="checkbox"/>
Cancelled Copy of the MICR Cheque of non KVB account specified for ECS debit (mandate to be provided on Page 4)		4		<input type="checkbox"/>
Cheque /DD for Rs. _____ in favour of Karur Vysya Bank Ltd, Payable at Chennai (towards advance fees only if the demat account holder has specified other than KVB details on Page 4)				<input type="checkbox"/>
Certified copy of Power of Attorney (POA) and identity and address proof of POA holder (if applicable)				<input type="checkbox"/>
Date of Birth Proof*				<input type="checkbox"/>
Duly verified copy of HUF PAN Card or HUF agreement (if applicable)*				<input type="checkbox"/>
PHOTOGRAPHS (ALL PHOTOGRAPHS SHOULD BE PASTED. DO NOT STAPLE)				
Photograph of all holders (even for minor holder, if any)		5		<input type="checkbox"/>
Photograph of Guardian (in case of holder is a minor)		5		<input type="checkbox"/>
Photograph of Nominee (in case of nomination only) and also his/her guardian (in case of nominee is a minor)		6		<input type="checkbox"/>
Photograph of the POA holder (in case of POA only)				<input type="checkbox"/>
*SELF ATTESTATION BY THE CLIENT SHOULD BE OBTAINED ON ALL PAGES OF PHOTOCOPIES OF DOCUMENTS				

OTHER IMPORTANT MANDATORY CHECK			
Bank details are complete	<input type="checkbox"/>	Separate cheque for each account opening form	<input type="checkbox"/>
In the schedule of charges, please ensure that the rate card chosen by the customer is correctly ticked. Further special waivers (if any), should be mentioned on the cover page enclosed with necessary approval.	<input type="checkbox"/>	Minors and HUF cannot open Joint A/C with other holders and cannot nominate	<input type="checkbox"/>
Agreement shall be valid till 6 months from the franking date	<input type="checkbox"/>	One of the Joint Holders can not be a nominee	<input type="checkbox"/>
Name mentioned on all the documents and on the form (Page 3,4,5,7 and 9) is legible and is the same everywhere.	<input type="checkbox"/>	Branch official should give KYC Certificate on Page 9	<input type="checkbox"/>
Do not mention the titles such as Mr./Mrs./Ms for individual's and suffix words such as "&Sons" or "HUF" in case of HUF account. In case the customer has already written the same, kindly get this corrected under client's signature.	<input type="checkbox"/>	Branch official should not sign and should not affix the round stamp on the agreement Page 7 as authorised signatory for KVB	<input type="checkbox"/>
Avoid writing "Same as above" in address fields	<input type="checkbox"/>	The demat account opening form should be filled in completely in all respects	<input type="checkbox"/>
The client(s) should not use more than one specimen signature and the same should be uniform across the form. If any alteration in the signature the Branch Manager's attestation is required with stamp.	<input type="checkbox"/>	Telephone No and Fax No should be mentioned with STD codes	<input type="checkbox"/>
In case of HUF , the Karta has to sign along with rubber stamp of HUF	<input type="checkbox"/>	The client should authenticate any correction/alteration in the account opening form	<input type="checkbox"/>
Client's signature appearing on the proofs should tally with the signatures on the demat account opening form	<input type="checkbox"/>	Branch official should affix stamp as "PAN Verified" on all the photocopies of PAN Cards	<input type="checkbox"/>
PAN Number to be checked on the Income Tax Department Website (http://incometaxindiaefiling.gov.in/challan/enterpanforchallan.jsp) for name checking of account holders.			<input type="checkbox"/>
CHECKLIST VERIFIED BY KVB STAFF	NAME	C.NO	SIGNATURE

SECTION A : PERSONAL DETAILS																					
INSTRUCTION: 1. Please fill in the details in CAPITAL letters only. 2. Please verify with the checklist on Page 2. 3. Strike of whichever is not applicable.																					
I/We request you to open a Depository Account in my/our name as per the following details												DATE		D	D	M	M	Y	Y	Y	Y
Sole/ First Holder's details (The name mentioned here will be treated as valid & correct. No claims will be entertained later for any disputes arising out of names spelled wrongly)																					
Full Name Please spell your name correctly. Name once captured cannot be changed																					
												SEX (PLEASE TICK)		<input type="checkbox"/> M	<input type="checkbox"/> F						
Name of the Father/Husband																					
Permanent Address														PIN CODE (MANDATORY)							
Correspondence Address														PIN CODE (MANDATORY)							
Preferred Mailing Address		<input type="checkbox"/> Permanent Address <input type="checkbox"/> Correspondence Address												⇔ By default the communication/ corporate benefit shall be sent to Permanent Address							
Telephone No with STD code																					
												FAX NO WITH STD CODE⇔									
Occupation		<input type="checkbox"/> Salaried		<input type="checkbox"/> Self Employed		<input type="checkbox"/> House Wife		<input type="checkbox"/> Retired		<input type="checkbox"/> Student											
Mobile No																					
												SMS Facility required		<input type="checkbox"/> Yes	<input type="checkbox"/> No						
e-Mail address														DATE OF BIRTH (MANDATORY)							
OTHER HOLDER DETAILS (Joint holding is not allowed in case of any first holder is a) minor b) HUF																					
Second Holder Name Please spell your name correctly. Name once captured cannot be changed																					
												SEX (PLEASE TICK)		<input type="checkbox"/> M	<input type="checkbox"/> F						
Name of the Father/Husband																					
Address														PIN CODE (MANDATORY)							
Telephone No with STD code																					
												FAX NO WITH STD CODE⇔									
Occupation		<input type="checkbox"/> Salaried		<input type="checkbox"/> Self Employed		<input type="checkbox"/> House Wife		<input type="checkbox"/> Retired		<input type="checkbox"/> Student											
Mobile No																					
												e-Mail									
DATE OF BIRTH																					
Third Holder Name Please spell your name correctly. Name once captured cannot be changed		F	I	R	S	T															
												SEX (PLEASE TICK)		<input type="checkbox"/> M	<input type="checkbox"/> F						
Name of the Father/Husband																					
Address														PIN CODE (MANDATORY)							
Telephone No with STD code																					
												FAX NO WITH STD CODE⇔									
Occupation		<input type="checkbox"/> Salaried		<input type="checkbox"/> Self Employed		<input type="checkbox"/> House Wife		<input type="checkbox"/> Retired		<input type="checkbox"/> Student											
Mobile No																					
												e-Mail									
DATE OF BIRTH																					
GUARDIAN'S Name Please spell your name correctly. Name once captured cannot be changed		F	I	R	S	T															
												SEX (PLEASE TICK)		<input type="checkbox"/> M	<input type="checkbox"/> F						
Relationship with the minor, if any																					
E-mail														Telephone No (with STD code)							
Address														PIN CODE (MANDATORY)							

FINANCIAL DETAILS (Mandatory)**Financial Details**

Financial Status (Annual Income)	<input type="checkbox"/> < Rs.5 Lakh	<input type="checkbox"/> Rs.5 – 10 Lakh	<input type="checkbox"/> Rs.10 – 15 Lakh	<input type="checkbox"/> > Rs.15 Lakh
Nature of Business				

	PAN NO										IT CIRCLE/ WARD/DISTRICT NO			
Sole /First Holder														
Second Holder														
Third Holder														
Guardian (in case of minor)														

STANDING INSTRUCTIONS (for KVB a/c holder)I/We authorize you to receive credits automatically into my/our account Yes No**ECS –Electronic Clearing Service / Direct Debit Mandate and Credit Mandate / Bank details for receiving Dividend, Interest , IPO credits etc**

1. It is mandatory to provide complete details required below. In the absence of complete details, the form may be rejected.
2. The bank account will be used for disbursing dividends/interest and may also be used for recovering all DP charges in respect of the account. Please provide correct details to avoid errors while doing the same.
3. For KVB Account holders mode of payment will be Direct Debit
4. ECS mode of recovery will be used at the discretion of KVB. The mode of payment will be indicated in the bill

1.Name(s) of the Bank Account Holder(s)																
2. Account Type	<input type="checkbox"/> Savings Account <input type="checkbox"/> Current Account <input type="checkbox"/> Cash Credit															
3. Ledger No/ Ledger Folio No (if applicable)																
4. Account Number																
5.Particulars of Bank Account																
a):Name of the bank																
b) Bank Branch																
6.Address of the Branch											Pin code					
7. Nine Digit Code Number of the Bank & Branch (Appearing on the MICR Cheque issued by the Bank .-The second number appearing at the bottom of the Cheque)-Mandatory																

Please attach a cancelled cheque carrying above mentioned bank details. Clients mentioning KVB Account details need not attach cheque.

To

KARUR VYSYA BANK LTD

I/We hereby also authorize the Bank to debit all charges in respect of the Demat Account payable by me/us to the above mentioned account. I we undertake that sufficient balances shall be maintained by me/us and shall in no way impair the right of the Bank to debit the Service Charges. I/We hereby further authorize the Bank to charge interest, at the prevailing commercial rate, on overdrawn balances in the said account(s) due to the debiting of service charges. The Bank shall not be obliged to provide overdraft facility on the said account except those arising out of debit of service charges payable by me/us. I/We hereby undertake to remit the amount of the debit plus the interest within 15 days of being notified about the same. I/We also authorize the Bank to arrange to exercise a lien over the dematerialized shares till the dues are remitted in full by me/us. I / We hereby undertake not to revoke this authority without the written approval from the Bank. I/We hereby specifically agree and confirm that any matter of issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of Chennai in India. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date:

C1

 Signature of Sole/First
Bank Account Holder

 Signature of Sole/First
Bank Account Holder

 Signature of Sole/First
Bank Account Holder

Note: As per SEBI, ECS Mandate is mandatory for receiving refunds for public issues.

ACCOUNT TO BE OPERATED THROUGH POWER OF ATTORNEY (POA) YES NO (If yes, provide true certified copy along with photo signed across by POA holder.)

SOLE/FIRST HOLDER
<p>PLEASE AFFIX RECENT PASSPORT SIZE PHOTO. PLEASE SIGN ACROSS PHOTO AFTER AFFIXING</p>

SECOND HOLDER
<p>PLEASE AFFIX RECENT PASSPORT SIZE PHOTO. PLEASE SIGN ACROSS PHOTO AFTER AFFIXING</p>

THIRD HOLDER
<p>PLEASE AFFIX RECENT PASSPORT SIZE PHOTO. PLEASE SIGN ACROSS PHOTO AFTER AFFIXING</p>



Signature of Sole/First Account Holder

Signature of SECOND Account Holder

Signature of Third Account Holder

In case of minor applicant, photograph of Guardian and Minor.

DOCUMENTARY PROOF																						
<p>PROOF OF IDENTITY</p> <ol style="list-style-type: none"> 1 <input type="checkbox"/> Passport 2 <input type="checkbox"/> PAN Card with photo(Mandatory) 3 <input type="checkbox"/> Voter ID Card 4 <input type="checkbox"/> MAPIN card 5 <input type="checkbox"/> Driving License 6 <input type="checkbox"/> Credit/ Debit Cards issued by Banks with applicant's photo 7 <input type="checkbox"/> Employee identity card with applicant's photo issued by <ul style="list-style-type: none"> <input type="checkbox"/> Central/State Government & its departments <input type="checkbox"/> Statutory /Regulatory Authorities <input type="checkbox"/> Professional Bodies such as ICAI/ICWAI/ICSI & Bar Council 8 <input type="checkbox"/> Identity Card/document with applicant's photo issued by: <ul style="list-style-type: none"> <input type="checkbox"/> Scheduled commercial Bank <input type="checkbox"/> Public Financial Institutions <input type="checkbox"/> Public Sector Undertakings <input type="checkbox"/> Scheduled Commercial Banks <input type="checkbox"/> Professional Bodies such as ICAI/ICWAI/ICSI & Bar Council 	<p>PROOF OF ADDRESS</p> <ol style="list-style-type: none"> 1 <input type="checkbox"/> Ration Card 2 <input type="checkbox"/> Passport 3 <input type="checkbox"/> Voter ID Card 4 <input type="checkbox"/> Driving License 5 <input type="checkbox"/> Bank Statement/Pass Book with multiple pages having latest entry details 6 <input type="checkbox"/> Bank Letter 7 <input type="checkbox"/> Verified Copy of <ul style="list-style-type: none"> <input type="checkbox"/> Electricity Bills (not more than two months old) <input type="checkbox"/> Residence Telephone Bill (not more than 2 months old) <input type="checkbox"/> Leave and License agreement / Agreement for sale 8 <input type="checkbox"/> Self declaration by High Court & Supreme Court judges giving the new address in respect of their own accounts 9 <input type="checkbox"/> Document with address issued by <ul style="list-style-type: none"> <input type="checkbox"/> Central /State Government and its departments <input type="checkbox"/> Statutory/Regulatory Authorities <input type="checkbox"/> Public Sector Undertakings <input type="checkbox"/> Scheduled Commercial banks <input type="checkbox"/> Public financial Institutions <input type="checkbox"/> Professional Bodies such as ICAI/ICWAI/ICSI & Bar Council 																					
Declaration																						
<p>I/We declare that all the particulars and information given in the application are true, correct, complete and upto date in all respects and I/We have not withheld any information. I/We agree and confirm that I/we have read the terms and conditions of the account facility, and the terms and conditions pertaining to usage of channels for access of the said account facility as put up on www.kvb.co.in and am/are aware of and will adhere to all the terms/conditions of opening/maintaining of the said account and usage of the channels with /by Karur Vysya Bank Ltd as may be in force from time to time. I/We further declare that I/We have read and are/am aware of and will adhere to the rules of the Depository in relation to maintenance and operation of the account. I/We understand that certain particulars given by me/us are required by the operational guidelines governing banking companies. I/We understand that Karur Vysya Bank Ltd, /Group companies/Agents reserves the right to reject any application without providing any reason. I/We further declare and confirm that the credit facilities, if any, enjoyed by me/us with other banks has been disclosed hereinabove. I/We authorize Karur Vysya Bank Ltd/Group companies/Agents to exchange, share or part with all the information relating to my/our application and transaction informed to other Karur Vysya Bank group companies/Banks/Financial institutions/Credit Bureaus/Statutory Bodies and shall not hold Karur Vysya Bank /its group companies and their agents or other persons to whom such information is disclosed liable for the disclosure or use of such information. We the joint applicants hereby confirm that we have instructed and authorized the first applicant to view/access the information on the said account for and on behalf of the undersigned and under our specific instructions as stated in this letter. We hereby state that should we wish to revoke the above authorization, we shall duly issue a letter of revocation to Karur Vysya Bank Ltd in this regard. We hereby agree that until ten days after Karur Vysya Bank receives such letter revoking the above mandate, the authorization as aforesaid shall hold good.</p>																						
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="8" style="text-align: left; padding: 2px;">DATE</th> </tr> <tr> <td style="width: 12.5%; text-align: center;">D</td> <td style="width: 12.5%; text-align: center;">D</td> <td style="width: 12.5%; text-align: center;">M</td> <td style="width: 12.5%; text-align: center;">M</td> <td style="width: 12.5%; text-align: center;">Y</td> <td style="width: 12.5%; text-align: center;">Y</td> <td style="width: 12.5%; text-align: center;">Y</td> <td style="width: 12.5%; text-align: center;">Y</td> </tr> </table>	DATE								D	D	M	M	Y	Y	Y	Y	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: center; padding: 2px;">Name(s) of Holders</th> </tr> <tr> <td style="padding: 2px;">Please ensure that spelling is same as in Page3)</td> </tr> </table>	Name(s) of Holders	Please ensure that spelling is same as in Page3)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center; vertical-align: middle; padding: 5px;">C3</td> <td style="text-align: center; vertical-align: middle; padding: 5px;">Signature</td> </tr> </table>	C3	Signature
DATE																						
D	D	M	M	Y	Y	Y	Y															
Name(s) of Holders																						
Please ensure that spelling is same as in Page3)																						
C3	Signature																					
SOLE/ FIRST HOLDER (Signature of Guardian in case of minor)		<input checked="" type="checkbox"/>																				
SECOND HOLDER		<input checked="" type="checkbox"/>																				
THIRD HOLDER		<input checked="" type="checkbox"/>																				
PLACE _____																						

NOMINATION

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and / or amount payable in respect of securities held in the Depository by me / us in the said beneficiary owner account shall vest in the event of my / our death.

I/We do not wish to make a nomination

Where a nomination is made please ensure the following are available:

- ✓ Photograph of the Nominee
- ✓ Signature of the Nominee
- ✓ Photograph of the Guardian (If Nominee is a Minor)
- ✓ Signature of the Guardian (If Nominee is a Minor)
- ✓ Signature of two witnesses.

NOMINEE
PLEASE AFFIX RECENT PASSPORT SIZE PHOTO. PLEASE SIGN ACROSS PHOTO AFTER AFFIXING

GUARDIAN
PLEASE AFFIX RECENT PASSPORT SIZE PHOTO. PLEASE SIGN ACROSS PHOTO AFTER AFFIXING

(N1)

(G1)

NOMINEE DETAILS

Name of the Nominee (Cannot be one of the holders)																				
Relationship with the applicant (if any)																				
ADDRESS	SIGNATURE OF THE NOMINEE				DATE OF BIRTH															
	(N2)				<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table>															
GUARDIAN NAME (if nominee is a minor)																				
ADDRESS	SIGNATURE OF THE GUARDIAN				RELATIONSHIP WITH THE NOMINEE IF ANY (if nominee is a minor)															
	(G2)																			

TO BE SIGNED BY APPLICANT ONLY IN CASE OF NOMINATION

(C4)

_____ Signature of Sole/First _____ Signature of Sole/First _____ Signature of Sole/First

WITNESSES

S.NO	NAME AND ADDRESS	SIGNATURE (W1)	DATE
1		☒	
2		☒	

SECTION C

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND THE PERSON SEEKING TO OPEN ACCOUNT WITH THE DEPOSITORY PARTICIPANT

The agreement made and entered into this _____ day of _____ between _____
_____ and _____
Sole/First holder
Second and third holder

Residing at _____
(hereinafter called "the client" and THE KARUR VYSYA BANK LTD , a company incorporated under the Companies Act 1956 and licensed as bank under the Banking Regulation Act 1949 and having its Registered Office at Erode Road, Karur (hereinafter called "The Depository Participant")

WITNESSETH

WHEREAS the Client has furnished to the Depository Participant the duly filled in application form requesting therein to open an account with the Depository Participant. NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the agreement hereby covenant and agree as follows:
1. The Client shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository Participant and the Client as at out in Section G (elsewhere referred to as DP charged. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the Client.
2. The Client shall have the right to get the securities which have been admitted on the Depository dematerialised in the form and manner laid down under the live Laws and Business Rules. The Depository Participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all any such securities submitted for dematerialisation except on the instructions of the Client.
3. The Depository Participant hereby undertakes that it shall maintain a separate account of its own securities held in dematerialised form with the Depository and shall not co-mingle the same with the securities held in dematerialised form on behalf of the Client.
4. The Depository Participant undertakes that a transfer to and from the accounts of the Client shall be made only on the basis of an order, instruction, direction or mandate duly authorised by the Client and that the Depository Participant shall maintain adequate audit trail of such authorization.
5. The Depository Participant agrees that the Client may give standing instructions with regard to the crediting of securities in his account and the Depository Participant shall act according to such instructions.
6. The Depository Participant undertakes to provide a transaction statement including statement of accounts, if any, to the Client at monthly intervals unless the Depository Participant and the Client have agreed for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the Client at least once a quarter.
7. The Depository Participant shall have the right to terminate this agreement, for any reason whatsoever, provided the Depository Participant has given notice in writing of not less than thirty days to the Client as well as to the Depository. Similarly, the Client shall have the right to terminate this agreement and close his account held with the Depository participant, provided no charges are payable by him to the Depository Participant. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or to rematerialise the security balances held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such security balances or rematerialise such security balances within a period of thirty days as per the procedure laid down in the By, Laws and Business Rules. Provided further, termination of this agreement shall not affect the rights, liabilities and obligations of either party and shall continue to bind the parties to their satisfactory completion.
8. On the failure of the Client to pay the charges as laid out in clause (1) of this agreement within a period of thirty days from the date of demand Depository Participant may terminate this agreement and close the account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the client held with another participant or be rematerialised in the manner specified in the By, Laws and Business.
9. The Client further agrees that in the event of the Client committing a default in the payment of any of the amounts provided in clause (1) within a period of thirty days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, the Depository Participant may charge interest @ not more than 24% p.e or such other rate as may be specified by the Executive Committee from time to time for the period of such default. Inc a the Client has failed to make the payment of any of the amounts as provided in clause (1) of this agreement, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with if an after giving M. days notice to the Client.
10. The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the Depository from time to time.
11. The Client shall have the right to create a pledge of the securities held in the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restrictions laid down under the By, Laws and Business Rules.
12. The Depository shall not be liable to the Client in any manner towards losses, liabilities and expenses, rising from the claims of third parties and from taxes and other governmental charges in respect of securities credited to the Client's account.
13. The Client may exercise the right to freeze his account maintained with the Depository Participant as to lock the securities held with the Depository Participant in accordance with the procedure prescribed in the By, Laws and Business Rules.
14. The Client may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the By Laws and Business Rules.
15. The Client shall notify the Depository Participant, within seven days, of any change in the details at out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
16. The Depository Participant undertakes to resolve all legitimate grievances of the Client against the Depository Participant within a period of Thirty days.
17. The Depository Participant and the Client shall abide by the arbitration and conciliation procedure prescribed under the By, Laws of the Depository and that such procedure shall be applicable to any disputes between the Depository Participant and the Client.
18. The Depository Participant and the Client further agree that all claims, differences and disputes, arising out of or in relation to dealings on the Depository including any transactions made subject to the By Laws or Business Rules of the Depository or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions have been entered into or not, shall be subject to the exclusive jurisdiction of the courts at Chennai only.

IN WITNESS WHEREOF the client and the Depository Participant has caused these presents to be executed as of the day and the year first above written

For Client use only

Client Signature
 C5

Witness signature (for and on behalf of the client)

Witness Name
 W2
Witness signature

Place _____
(City) (State)

Date _____

For The Karur Vysya Bank, Demat Cell, Chennai use only

Witness Signature (on behalf of the client)

Name of the signatory

Signature of signatory
Place _____ (City) _____ (State)

Witness Name

Witness signature
Date _____

SECTION D: LETTER OF CONFIRMATION:

To
THE KARUR VYSYA BANK LTD
DEMAT Cell, 37 WHITES ROAD, CHENNAI 600 014

Dear Sirs,

Sub: Opening of an Account of holding Dematerialised Securities.

I/We refer to my/our application for opening of a dematerialised securities account ("DP account") with The Karur Vysya Bank Ltd (hereinafter called "KVB") and execution of the agreement dated (DP agreement) in connection therewith.

In consideration of your having agreed to enter into the DP agreement, at our request, we hereby agree and confirm that:

1. KVB as Depository Participant (DP) will not be liable to us for any action taken or authorised to be taken by it pursuant to the DP agreement or for any claim, loss, damages or expenses arising in connection with any such action or omission except in so far as the same results from bad faith, willful default or negligence on the part of KVB.
2. KVB as DP will not be liable or responsible for the loss or damages arising on account of any natural calamities or on account of malicious damages caused on account of any strike, civil commotion, riots, war, war like events or circumstances beyond the control of KVB.
3. KVB as DP, will not be responsible for the title, validity or genuineness of any securities which have been dematerialised and notified subsequently by the Registrars of any defects in its title/validity which has resulted in a reduction of the dematerialised holdings of the client and the consequences thereon.
4. I/We hereby agree to hold KVB harmless against all actions, proceedings, claims and demands, cost and expenses incidental thereto which may be brought against, suffered or incurred by KVB as Depository Participant by reason of all acts done by it pursuant to the provisions of the above referred agreement executed at the time of opening the DP account, including any action or omission undertaken in compliance with any instructions received by KVB which KVB believes in good faith to have been given by me/us and make good the losses incurred by KVB on all legal, professional and other expenses incurred by KVB.
5. I/We undertake to send KVB instructions relating to the transfer of securities latest by 4 p.m. on business day (excluding Saturday, Sunday and bank holidays) prior to the execution date indicated by me/us in the debit instruction. We note that the instructions received by KVB after this, will be carried out and updated only on "Best Effort" basis. KVB is not liable for any losses and arising out of TIFDs accepted on the "Best Effort" basis.
6. KVB will not be responsible for any failure as a result of non receipt or receipt of incomplete/erroneous instructions though received within the stipulated time.
7. All instructions will be signed by me/us or by an authorised person on my/our behalf whose signature has been lodged with KVB along with relevant documents as required by KVB. I/We shall inform KVB about the changes in the list of authorised persons and their specimen signatures from time to time.
8. I/We am/are agreeable to pay the fees for the services rendered by KVB as per Section G annexed to the agreement executed by me/us for opening the DP account. I/We hereby authorise and instruct KVB to debit the bank account to be notified by me/us for the fees and other charges and undertake to ensure that adequate balances are made available in the bank account. I/We further confirm that, the securities held in my/our DP account are subject to a lien/right of set off in favour of KVB for the claims of monies payable to KVB pursuant to the DP Agreement executed by me/us at the time of opening the DP account.
10. The particulars given and declarations made by me/us in the account opening form, other declarations and in this letter are true as on the date hereof and any changes will be informed to you immediately. I/We further confirm that ICICI Bank is not liable and responsible for any incorrect information given to KVB nor for any false declaration furnished to KVB and the consequential effects thereon.
11. I/We have read and understood the rules and regulations pertaining to the Depository and Depository Participants in connection with opening and operating of DP accounts.
12. I/We authorise KVB to issue/re-issue a TIFD booklet through Post or Courier or as per your standard practice. The Courier charges will be debited in my/our account.
13. I/We also agree that, in case of my/our not following the terms and conditions KVB reserves the right to discontinue execution of any instruction in my/ our account.
14. (a) I/We hereby authorise KVB, to claim from my/our bank account mentioned under "Electronic Clearing Service (Debit Clearing) Mandate/Direct Debit Mandate and Bank details for receiving dividend/interest", the charges in respect of the Demat Account.
I/We further confirm that :
i) I/We am/are agreeable to participate in Electronic Clearing Services (Debit Clearing) of RBI and enclose the Mandate Form.
ii) The Bank has been authorised to debit my/our account with your charges and remit the proceeds to KVB in the manner advised by KVB from time to time. KVB may forward a copy of the authorisation to the Bank.
(b) I/We hereby undertake not to revoke the standing instruction given to my Bank for the above without the written approval from KVB over after complying with clause 'd' below.
(c) We will ensure that sufficient balance will be maintained in the said account for meeting the dues.
(d) I/We will provide fresh authorisation/instruction for claiming KVB dues from the new bank account in the event of our closing this bank account. I/ We will provide fresh authorisation to KVB at least one month prior to our closing the account.
15. I/We have agreed to KVB accepting any facsimile (fax) instructions, I/We confirm that KVB as DP shall not be liable for any losses or damages which I/We may suffer as a consequence of KVB as DP acting in accordance with or in reliance upon, any fax instructions. I/We hereby agree that I/We shall indemnify the DP and keep KVB as DP indemnified and saved harmless, at all times against any claims, losses, damages, in connection with or arising out of or in relation to any fax submission.
16. I/We further confirm that the above confirmation are in addition to those agreements and confirmation given by me/us in the account opening form and the DP agreement executed by me/us for opening the DP account.

In confirmation of the above,

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☒ Signature of Sole/First

☒ Signature of Sole/First

☒ Signature of Sole/First

PLACE _____

DATE _____

SECTION E: PAYMENT INSTRUCTIONS

Please find enclosed : Cheque No _____ Dated _____ Bank Name _____

Branch Name _____ for Rs. _____ towards first year annual service charges and agreement stamp charges.

 _____
Signature of the Sole/First Holder

Demat officer Name: _____ Signature of Demat officer:

KYC CERTIFICATION (For Karur Vysya Bank only)

I have met Mr. /Ms. _____ Mr./Ms. _____ &
(First Holder) (Second Holder)
Mr./Ms. _____ (in case of joint account) , in person and hereby confirm the identity and address as filled in this form
(Third Holder) which has been filled in my presence and I have verified with the original documents.

Name of the Bank Official authorizing opening of the account	Employee code	BANK SEAL	Date	<input checked="" type="checkbox"/> Signature of Bank Official
Signature of the Customer _____				

Existing relationship with other organisation , if any If yes, please specify name of the organisation below

Demat Account	Yes	No	
Loan Against Shares	Yes	No	
Brokers	Yes	No	

TERMS OF AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE DEPOSITORY PARTICIPANT

1. The client shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository Participant and the Client as set out in Section G (elsewhere referred to as DP charges). The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the Client.

2. The Client shall have the right to get the securities which have been admitted on the Depository denationalized in the form and manner laid down under the By. Laws and Business Rules. The Depository Participant further undertakes that it shall not create permit to subsist any mortgage, charge or other encumbrance over all or any such securities submitted for denationalization except on the instructions of the client.

3. The Depository Participant hereby undertakes that it shall maintain a separate amount of its own securities held in dematerialization with the Depository and shall not co mingle the same with the securities held in denationalized form on behalf of the Client.

4. The Depository Participant undertakes that a transfer to and from the accounts of the Client shall be made only on the basis of an order, instruction, direction or mandate duly authorized by the Client and that the Depository Participant shall maintain adequate audit trail of such authorization.

5. The Depository Participant agrees that the Client may give standing instructions with regard to the crediting of securities in his account and the Depository Participant shall act according to such instructions.

6. The Depository Participant undertakes to provide a transaction statement including statement of accounts, if any, to the Client at monthly intervals unless the Depository Participant and the Client have agreed for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the Client at least once a quarter.

7. The Depository Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client as well as to the Depository.

Similarly, the Client shall have the right to terminate this agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or to re-materializes the a security balances held. Based on instructions of the Client, the Depository Participant shall initiate the procedure for transferring such security balances or re-materialize such security balances within period of thirty days as per the procedure laid down in the Bye Laws and Business Rules. Provided further, termination of this agreement shall not affect the rights, liabilities and obligation of either party and shall continue to bind the parties to their satisfactory completion.

8. On the failure of the client to pay the charges as laid out in clause (1) of this agreement within a period of thirty days from the date of demand Depository Participant may terminate this agreement and close the account of the client by requiring 'to specify whether the balances in its account be transferred to the account of the client held with another participant or be re-materialized in the manner specified in the Bye Laws and Business Rules.

9. The client further agrees that in the event of the client committing a default in the payment of any of the amounts provided in the clause (1) within period of thirty days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the client, the Depository Participant may charge interest @ not more than 24%p.a. or such other rate as may be specified by the Executive Committee from time to time for the period of such default. In case the Client has failed to make the payment of any of the amounts as provided in clause (1) of this agreement the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, alter giving two day's notice to the Client.

10. The Depository Participant shall have a right to provide such information related to Client's account as may be requested by the Depository from time to time.

11. The Client shall have the right to aereate a pledge of thesecurities held in the dematerialaed form with the Depository Participant *only* in accordance with the procedure and subject to the restrictions laid down under Bye Laws and Business Rules.

12. The Depository shall not be liable to the Client in any mannortmards losses, liabilities and expenses arising from the Claims of third parties and from taxes and other governmental charges in respect of securities credited to the Client's account.

13. The Client may exercise the right to freeze his account maintained with the Depository no as to lack the securities held with the Depository Participant in accordance with the procedure prescribed in the Bye Laws and Business Rules.

14. The Client may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules.

15. The Client shall notify the Depository Participant, within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time roomer.

16. The Depository Participant undertakes to resolve all legitimatregrie,metes of the Client against the Depository Participant within a period of Thirty days.

17. The Depository Participant and the Client shall abide bythe saturation and conciliation procedure prescribed under the Bye Laws of the Depository and that such procedure shall be applicable to any disputes between the Depository Participant and the Client.

18. The depository Participant and the Client further agree that all claims, differences and disputes, arising out of or in relation to dealings on the Depository including any transactions made subject to the Bye Laws or Business Rules of the Depository or with referenceto anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligation and liabilities of the parties thereto and including any question of whether such dealings, transactions have been entered into or not, shall be subject to the exclusive jurisdiction of the courts at Mumbai only.

ACKNOWLEDGEMENT APPLICATION NO DATE

Accepted the application for opening a Depository Account from Shri/Smt _____
as the first holder ,Shri/Smt _____ as second and third holders
respectively for opening a Depositor Account and a Cheque No. _____ dated _____ on _____ (Bank)
for Rs. _____ for annual service charges and agreement stamp charges. Your DP Account will be intimated to you shortly on acceptance.
Please quote the DP ID and the Account Number allotted to you in all your future correspondence. **FOR THE KARUR VYSYA BANK LTD**

